**PATENT** 

# O | P & Practitioner's Docket No. 701586-53653 IN THE UNIT PCT/US2004/001329

## IN THE UNITED STATES RECEIVING OFFICE

PCT/US2004/001329	16.01.2004 16 January 2004	17.01.2003 17 January 2003	
International	International	International Earliest	
Application Number	Filing Date	Priority Date	

TITLE OF INVENTION:

HAPLOTYPE ANALYSIS

APPLICANT(S) FOR EO/DO:

The Trustees of Boston University

**INVENTOR(S)/APPLICANT(S) FOR US:** 

Charles R. Cantor and Chunming Ding

U.S.S.N. 10/542,043

### CERTIFICATE OF MAILING

I hereby certify that this correspondence, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner of Patents, Box 1450, Alexandria, VA 22313-1450.

Date: Lely 21, 2006

Tina-Michelle Pittsley

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

## INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants wish to bring to the Examiner's attention the following references, References 1-36 cited in the attached Form PTO/SB/08 a and b.

### **REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

(1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or

	(2) within three months of the mailing date of the PCT International Search Report; or						
	(3) after the period defined in (1) but before the mailing date of a <b>Final Rejection</b> or <b>Notice of Allowance</b> , and the requisite Certification or fee under Rule 1.17(p), namely \$180.00, is included herein; or						
_	(4) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and the requisite Certification, petition, and petition fee are included herein.						
<u>x</u>	A copy of the International Search Report is enclosed herewith.						
	It is respectfully requested that each of the documents shown on the attached form(s)						
	PTO/SB/08a and b be made of record in this application.						
	Copies of these documents (CHECK ONE):						
<u>X</u>	are enclosed herewith that have not been previously submitted; or						
<del></del>	have been cited in the parent application, and are thus not being resubmitted herein.						
<u>x</u> '	Pursuant to 37 C.F.R. §1.98, copies of issued U.S. patents listed on the attached form PTO/SB/08, if any, are no longer required and therefore are not enclosed. These documents can be found in the U.S. PTO issued patents database.						

# FEE AUTHORIZATION

The Commissioner is authorized to charge fee deficiencies or credit overpayments associated with this submission to the Nixon Peabody LLP Deposit Account No. 19-2380.

Date: July 26, 2006

Respectfully submitted,

Ronald I. Eisenstein (Reg. No. 30,628)

Leena H. Karttunen (L0207) NIXON PEABODY LLP

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO INFORMATION DISCLOSURE 10/542,043 Application Number January 16, 2004 Filing Date STATEMENT BY APPLICANT Cantor et al. First Named Inventor (use as many sheets as necessary) To Be Assigned Art Unit To Be Assigned Examiner Name Sheet of 3 Attorney Docket Number 701586/53653 U.S. PATENT DOCUMENTS U.S. Patent Document Cite No. 1 Examiner iges, Columns, Lines, Where Initials **Publication Date** Name of Patentee or Relevant Passages or Relevant Figures Appear Number - Kind Code<sup>1</sup> (if known) MM-DD-YYYY Applicant of Cited Document US-2002/0081598 A1 06-27-2002 Evans et al. US-US-US-US-FOREIGN PATENT DOCUMENTS Foreign Patent Document Pages, Columns, Lines, Where Relevant Passages or Relevant Publication Date MM-DD-YYYY Name of Patentee or Initials Kind Code Applicant of Cited Document Figures Appear T٤ Country Code3 Number4 (if known) OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner T2 item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), Initials publisher, city and/or country where published. 2 BARNES, W.M., Proc. Natl. Acad. Sci. USA 91:2216-2220 (1994) 3 CLARK, A.G., Mol. Biol. Evol. 7(2):111-122 (1990) 4 COLLINS et al., Science 278(5343):1580-1581 (1997) 5 CONNER et al., Proc. Natl. Acad. Sci. USA 80:278-282 (1983) 6 DALY et al., Nature Genetics 29:229-232 (2001) 7 DING et al., PNAS 100(13):7449-7453 (2003) DOUGLAS et al., Nature Genetics 28:361-364 (2001) 8

Date

Considered

Examiner

Signature

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE					Complete if Known				
				LOSURE	Application Number		10/542,043		
					Filing Date		January 16, 2004		
STATEMENT BY APPLICANT (use as many sheets as necessary)			First Named Inventor		Cantor et al.				
			Group Art Unit		To Be Assigned				
				<del> </del>	Examiner Name		To Be Assigned		
Sheet	2 of 3				Attorney Docket Number		701586/53653		
			-	OTHER PRIOR AI	RT – NON PATENT LITERATU	RE DOCUMENT	s		
Examiner Initials	Cite No. <sup>1</sup>								
	9	DRYSDALE et al., Proc. Natl. Acad. Sci. USA 97(19):10483-10488 (2000)							
	10	EVANS et al, Science 286:487-491 (1999)							
	11	GABRIEL et al., Science 296:2225-2229 (2002)							
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aminer nature	1					Date Considered			

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number		10/542,043				
				ing Date January 16, 2004 St Named Inventor Cantor et al.					
			First Named Inventor Group Art Unit		o Be Assigned				
			Examiner Name		o Be Assigned				
Sheet	Sheet 3 of 3			Attorney Docket Number					
				<u> </u>	<u> </u>	01586/53653			
Examiner	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS								
Initials	Cite No.								
	23	ORITA	ORITA et al., Proc. Natl. Acad. Sci. USA 86:2766-2770 (1989)						
	24	PAPAD	PAPADOPOULOS et al., Nature Genetics 11:99-102 (1995)						
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